Docket No.: 3364P145

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In	Re	the	App]	lication	of:
----	----	-----	------	----------	-----

YUN-HEE CHO, ET AL.

Art Group:

Application No.:

Examiner:

Filed:

For: System and Method for Operating Optical

Transponder

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Utility Application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1- 3364P145

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric S. Hyman Reg. No. 30,139

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (310) 207-3800

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known		
		Application Number		
		Filing Date		
		First Named Inventor	Yun-Hee Cho	
		Art Unit		
		Examiner Name		
Sheet	of	Attorney Docket Number	3364P145	

1

=				TIT BOOTHENITE	
U.S. PATENT DOCUMENTS					
i		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Initials*	Cite No. <sup>1</sup>	Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Figures Appear
		US-			
	<b>†</b>	US-			
	<b>†</b>	US-			
	<u> </u>	US-			
	<del>                                     </del>	US-			
		US-			
	1	US-			
	1	US-			
<b>-</b>	<del>                                     </del>	US-			
ļ ——		US-			
		US-			
	<b>—</b>	US-			
		US-			
	+	US-			
	+	US-			

	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	T <sup>6</sup>
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	or Relevant Figures Appear	Ĺ
		KR1001501480000	06-11-1998	Samsung Electronics Co., Ltd.		X
		KR1020000010138	02-15-2000	Samsung Electronics Co., Ltd.		X
		KR1020000037855	07-05-2000	Korea Electronics & Telecommunications Research Institute Korea Telecom		X
						$\vdash$
	<b>†</b>					<u> </u>
						<u> </u>
	1					<u> </u>
	1					<u> </u>

		_
Examiner Signature	Date Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

AMOMENTO		Complete if Known		
Substitute for form 1449	ON DISCLOSURE	Application Number Filing Date		
STATEMENT BY APPLICANT (use as many sheets as necessary)		First Named Inventor	Yun-Hee Cho	
		Art Unit		
		Examiner Name		
Sheet	of	Attorney Docket Number	3364P145	

Examiner Initials*  Cite No.¹  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, maga symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where put OCh-level OAM digital wrapper An Overhead Processing Model of digital wrapper OCh-level OAM", Phototonics Conference 2002, 2 pages  "An Overhead Processing Model for OCh-level OAM", Y. Cho, et al., pages	wrapper for	Τ2
OCh-level OAM", Phototonics Conference 2002, 2 pages		
"An Overhead Processing Model for OCh-level OAM", Y. Cho, et al., pages	3 289-291	

Examiner Signature	Date Considered

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.